

# Blue sky in SOI: new opportunities for quantum and hot-electron devices

S. Luryi<sup>a</sup>, A. Zaslavsky<sup>b,\*</sup>

<sup>a</sup> *Department of Electrical and Computer Engineering, SUNY-Stony Brook, Stony Brook, NY 11794-2350, USA*

<sup>b</sup> *Division of Engineering and Department of Physics, Brown University, Providence, RI 02912, USA*

The review of this paper was arranged by Prof. S. Cristoloveanu

## Abstract

The combination of silicon-on-insulator (SOI) substrates with ultrathin Si and insulator layers opens new opportunities for quantum effect and hot-electron devices. Unlike their III–V predecessors, these devices have the crucial advantage of potential integrability with dominant silicon technology. We discuss three examples of such SOI devices: a three-terminal real-space transfer transistor with a low-barrier dielectric; a vertical tunneling transistor with an ultrathin tunneling gate oxide; and a lateral interband tunneling transistor. None of these devices has progressed beyond a rudimentary proof-of-concept demonstration, but the strong nonlinearities of their characteristics deriving from quantum tunneling or hot-electron injection, as well as their unique scaling behavior, make them an interesting playground for innovative device research.

© 2004 Elsevier Ltd. All rights reserved.

## 1. Introduction

Silicon-on-insulator (SOI) transistors built in thin fully-depleted Si channels on top of an insulating buried oxide are predicted by the current technology roadmaps<sup>1</sup> to take over from bulk Si CMOS devices over the next few years, because of their superior scaling properties, enhanced performance, and improved device isolation. In the mainstream silicon technology, there is an ongoing debate about the most appropriate double-gate SOI transistor geometry and fabrication sequence that will meet the architectural demands for end-of-roadmap Si transistors [1]. At the same time, the continuing miniaturization of SOI devices, with available Si channel and gate insulator thickness dropping to the nanoscale, as well as integration of ultrathin SOI with novel dielectrics and gate materials, is opening the

door to quantum effect and hot-electron devices. A number of such devices, based on quantum tunneling, hot-electron injection or charge quantization, have been demonstrated in III–V heterostructures over the past two decades [2]. Yet the great success of III–V heterostructures, due to the bandgap engineering capabilities of modern epitaxy, has not led to technological insertion, as the mainstream semiconductor technology continues to be dominated by Si CMOS. Today, it appears hardly conceivable that any stand-alone III–V quantum effect or hot-electron architecture will make inroads against Si ULSI [3], so the search for ULSI-compatible innovative devices acquires a particular urgency.

In this paper we will present three device structures that appear suitable for exploitation in the SOI world: a real-space transfer (RST) injection transistor, a lateral interband tunneling transistor (LITT), and a vertical tunneling transistor (VTT). All of these devices can and have been envisaged in III–V heterostructures, but SOI implementations offer both compatibility and functional advantages. Further, some of these devices can also be operated in the standard transistor mode, with a drift-diffusion current flowing between source and drain

\* Corresponding author.

E-mail address: [Alexander\\_Zaslavsky@brown.edu](mailto:Alexander_Zaslavsky@brown.edu) (A. Zaslavsky).

<sup>1</sup> The latest publicly released version of the ITRS roadmap is available on the <http://public.itrs.net> web site.

modulated by the third, gate electrode. We should stress that none of these SOI devices has progressed beyond a rudimentary proof-of-concept demonstration and, as we will discuss below, they all require some fabrication advances beyond the current state of the art. We also stress that our list of quantum effect and hot-electron devices could be complemented by other types of structures, such as the gated quantum dots built in SOI that show strongly nonlinear conductance due to single-charge tunneling [4–6]. What we hope to convey is that novel devices and functionalities can still be grafted onto the rapidly growing SOI technology—an opportunity that device physicists should not miss.

## 2. Real-space transfer transistors in SOI

The RST transistor (also known as a charge injection transistor) is a three-terminal semiconductor device based on real-space transfer of hot electrons into the collector [7]. Two possible device structures are illustrated in Fig. 1. The bottom-collector configuration of Fig. 1(a) has been typically used in III–V heterostructures; the top-collector version of Fig. 1(b) is advanta-

geous in terms of speed [8], but has been difficult to implement in heterojunctions. With the source grounded, a drain voltage  $V_D$  accelerates the electrons in the source–drain channel. These electrons equilibrate their energy via electron–electron collisions and become “hot”, with an approximately Maxwellian distribution characterized by an effective temperature  $T_E > T$  (where  $T$  is the lattice temperature). Given an appropriately low  $\Delta E_C$  barrier between the channel and the collector, RST of electrons into the collector at sufficiently high  $T_E$  produces a strong negative differential resistance (NDR) in the  $I_D(V_D)$  circuit for a fixed  $V_C$ . Such transistors have been implemented in a number of semiconductor heterostructures, mostly III–V but also Si/SiGe [9,10]. The room temperature characteristic of a bottom-collector InGaAs/InAlAs RST transistor is shown in Fig. 1(c) [11].

The potential usefulness of RST transistors derives from a number of factors. First, the efficient control of the injection current  $I_C$  by the heating voltage  $V_D$  enables ultrahigh-speed operation of the device as an amplifier. In fact, since a top-collector device can be operated both as a field-effect transistor (FET), where the collector electrode acts as a gate and modulates the source–drain current  $I_D$ , and an RST transistor, where the drain

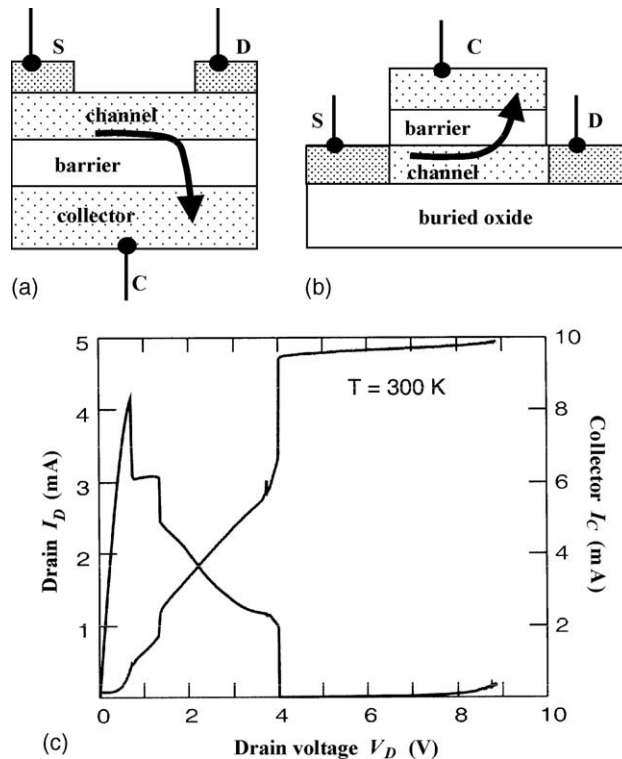


Fig. 1. Schematic diagram of real-space transfer transistors. (a) Bottom-collector configuration, used in many III–V implementations of the RST transistor; (b) top-collector configuration, readily amenable to SOI implementation; (c) characteristics on a bottom-collector III–V RST transistor at  $V_C = 3.9$  V at  $T = 300$  K (source–drain separation of  $1 \mu\text{m}$  and collector stripe width of  $25 \mu\text{m}$ ). Note the strong NDR in the drain circuit [12].

controls the collector injection current  $I_C$ , the speed issue can be compared experimentally. In a III–V device with  $L_G = 1 \mu\text{m}$  gate length, the RST mode was shown to be a factor of three faster [12].

Second, the source and drain in an RST transistor are obviously symmetric, leading to additional logic functionality. In a device with two contacts to the channel, the output  $I_C$  current in the RST mode is invariant under an interchange of the source and drain  $V_S$  and  $V_D$ , so the device exhibits an exclusive *or* dependence of  $I_C$  on  $V_S$  and  $V_D$  input voltages regarded as binary logic signals. More powerful *ornand* logic functionality is obtained in an RST device with three input terminals, where the output current has either *or* or *nand* dependence on the voltage applied to any two of the three electrodes, depending on the voltage applied to the third electrode [10,13]. To be sure, the RST transistor, because of its power dissipation is not competitive as a LSI logic element. However, it can find applications as an ultrafast “smart” switch of large currents between two circuits. Interestingly, in III–V structures the logical functionality can be transferred into the opto-electronic domain by implementing a p-type direct bandgap collector electrode [10].

Finally, the very strong NDR observed in the channel circuit allows the RST transistor to be used as a voltage controlled oscillator of ultrahigh bandwidth. In a well-designed RST transistor, at sufficiently high collector bias  $V_C$ , nearly all of the channel current is diverted into the collector (in other words, the NDR in the drain circuit can have a very high peak-to-valley ratio,  $>10^3$ ). When biased into the NDR regime, the device is unstable. With an *LC* load, a single RST transistor can be used as a voltage controlled oscillator, with the oscillations can be turned on and off by  $V_C$ .

The technological potential of RST transistors, given their speed and functional advantages, would be greatly enhanced if they were implemented in a technologically compatible fashion. Here we argue that SOI offers a unique opportunity. Consider the device illustrated in Fig. 2, which contrasts a bulk Si transistor and an SOI transistor with a narrow undoped Si channel, both capped with a gate dielectric. If the dielectric is  $\text{SiO}_2$ , the band offset is large ( $\Delta E_C > 3 \text{ eV}$ ) and hot-electron emission is strongly suppressed—fortunately for the standard MOSFET operation, since there the hot electrons mean gate leakage. However, there is currently an enormous research effort into alternative high- $\kappa$  dielectrics, in order to enhance the gate-to-channel capacitance (and hence the MOSFET transconductance). A number of metal oxides that have been investigated for high- $\kappa$  applications, such as zirconium silicates and barium oxides, have relatively low  $\Delta E_C$ , in the 0.50–0.8 eV range [14]. While such low barriers are problematic for standard MOSFETs, they provide an ideal opportunity for RST transistors. Implementation of RST

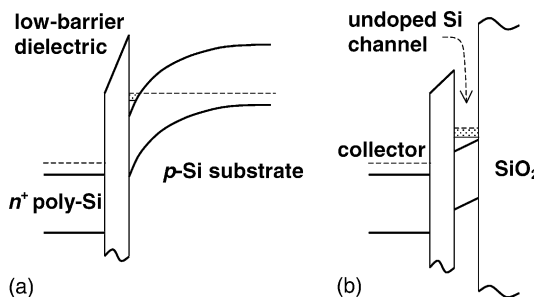


Fig. 2. Schematic vertical band diagram through the proposed silicon RST transistor with a low-barrier (high- $\kappa$ ) dielectric: (a) implemented on a Si substrate; (b) implemented in SOI with a thin Si channel.

transistors in SOI has a number of other potential advantages.

Thus, for efficient RST transfer to occur, the hot electrons should be kept in the close vicinity of the barrier. In a bulk Si transistor, this could be accomplished with relatively heavy substrate doping of  $10^{18} \text{ cm}^{-3}$  or higher, as shown in Fig. 2(a). However, this introduces a large parasitic input capacitance, since the drain is the input electrode in the RST mode. Moreover, it may also adversely affect the onset of RST process. Indeed, assuming that the dominant energy loss mechanism is associated with the emission of optical phonons (true only at relatively low  $T_E$ ), the energy balance equation can be written as,

$$k(T_E - T) = e\mu F^2 \tau_E \quad (1)$$

where  $\tau_E$  is the energy relaxation time constant,  $\mu$  is the channel mobility and  $F$  is the electric field in the channel,  $F \sim V_D/L_G$ . For given  $F$ , the excess carrier temperature is proportional to the mobility, which should be much higher in an undoped SOI channel of Fig. 2(b) than in a heavily-doped bulk inversion channel of Fig. 2(a). Once the RST process is well established, the principal energy loss mechanism becomes the flux of hot electrons over the barrier and into the collector, rather than optical phonon emission, and Eq. (1) no longer holds [15]. By the time this regime is established, electron transport along the channel typically reaches saturation velocity and mobility becomes less important, just as in the case of conventional transistors. But even then, the reduced parasitic capacitance in SOI would mean that SOI-based RST devices would be limited by the energy equilibration time in Si, expected in the subpicosecond range. It is worth noting that SOI RST devices should be faster than their GaAs-based counterparts, because GaAs suffers from intervalley scattering that delays the RST onset [16].

Thus, the ULSI-driven research programs aimed at high- $\kappa$  gate dielectrics, may have already contributed a

valuable niche SOI application: low-barrier dielectrics may provide the material base for SOI RST implementation and open a variety of useful circuit applications. As discussed above, SOI-based RST transistors with their minimized drain capacitance should perform better than previously explored compound semiconductor devices—and they can be produced today. In a more futuristic vein, if a p-type direct-gap semiconductor could be used for the collector layer on top of the low-barrier-oxide dielectric layer in Fig. 2(b), an optically functional Si-based logic device would result.

### 3. Vertical tunneling transistor in SOI

Another device class that was originally proposed and realized in III–V material but appears eminently suitable for SOI implementation is the vertical tunneling transistor (VTT) with lateral extraction of the operating tunnel gate current  $I_G$  [17]. The principle of operation is illustrated in Fig. 3(a). Electrons tunnel from the gate electrode into the quantum well, where two-dimensional (2D) subbands  $E_N$  arise from size quantization. Elastic tunneling into reduced dimensionality states conserves energy and transverse momentum, so the tunneling current depends on the alignment between  $E_N$  and the occupied states in the gate [18]. This alignment, in turn, is controlled by the voltage on the back-gate electrode  $V_{BG}$ , which induces an electric field that changes the 2D confining potential and alters the alignment between the channel and the gate [2,17]. In principle, once  $E_1$  is lowered below the bottom of the occupied states in the gate electrode, the tunneling current is cut off by the energy and transverse momentum conservation, leading to NDR in the  $I_G(V_{BG})$  characteristic and hence a negative transconductance,  $g \equiv \partial I_G / \partial V_{BG} < 0$ . The tunneling  $I_G$  is extracted laterally, via a separate contact to the quantum well. Since the charge in the quantum well responds to the back-gate, it might appear that  $V_{BG}$  would be screened by this charge, leading to an absence of control of the tunneling current by  $V_{BG}$ . However, adding electrons to a 2D system raises its Fermi level, so that it is energetically favorable that part of the  $V_{BG}$ -induced electric field still penetrates through to the top barrier (this was discussed in terms of an effective quantum capacitance in Ref. [17]).

The first VTT devices were fabricated in the GaAs/AlGaAs material system more than a decade ago [19], where a thicker second AlGaAs barrier separated the GaAs quantum well from the substrate (see Fig. 3(a)), but with GaAs and AlGaAs instead of Si and SiO<sub>2</sub>). One difficulty with these III–V devices was making good ohmic contact to the quantum well without introducing leakage to the substrate. This problem is obviated in SOI, where the buried oxide is essentially impenetrable and where the device of Fig. 3(a) can be fabricated by a

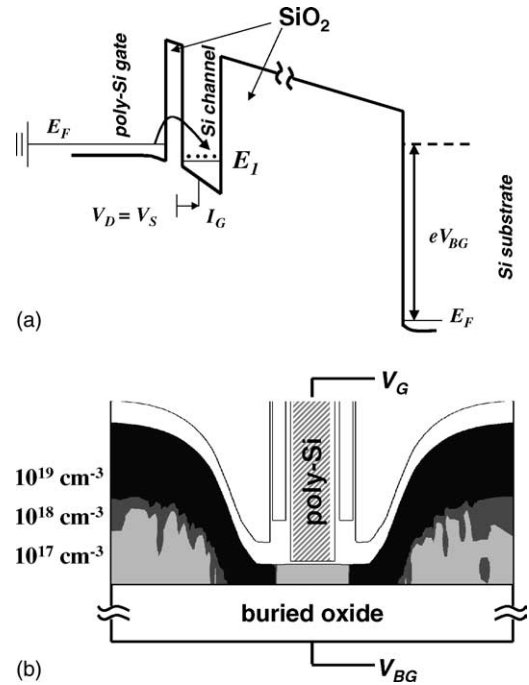


Fig. 3. (a) Schematic vertical band diagram through the VTT under bias, indicating the electron tunneling into the quantized Si channel (only the lowest subband  $E_1$  is shown) and their subsequent lateral extraction via the (shorted) source and drain contacts. Control of the tunneling current  $I_G$  via  $V_{BG}$  is due to the penetration of the electric field through the Si channel, which alters the alignment of  $E_1$  with poly-Si gate. (b) Simulation of the fabricated prototype: the Si film thickness under the gate is  $\sim 5$  nm thick, the front gate oxide is  $\sim 1$  nm. Gray-scale shows the  $10^{19}$ ,  $10^{18}$  and  $10^{17}$  cm<sup>-3</sup> doping contours in the source and drain extensions, given by the Silvaco simulator using actual processing parameters. The channel under the gate is undoped.

fully technological transistor process, with an ultrathin undoped Si channel to foster size quantization and a tunneling gate oxide.

Proof-of-concept SOI VTT devices were recently fabricated on standard UNIBOND SOI substrates (200 nm of undoped Si on top of 400 nm of buried oxide) using an existing CMOS mask set [20]. The active region Si was thinned to 50 nm using repeated sacrificial oxidation and removal and then locally thinned to  $t_{Si} \sim 5$  nm in the gate region [21]. The thermal gate oxide was kept as thin as possible by densifying the native oxide, resulting in  $\sim 1$  nm SiO<sub>2</sub>. After in situ doped poly-Si gate material (P-doped to  $\sim 10^{19}$  cm<sup>-3</sup> range), the device followed standard transistor processing using e-beam gate level lithography ( $L_G = 0.1$   $\mu$ m), nitride spacers, and low-energy (3 keV) As source/drain implants. The fabrication sequence was simulated on Silvaco software, using the actual implantation and activation anneal parameters and the resulting cross-sectional view of the

device is illustrated in Fig. 3(b), including the doped source and drain extension contours. The simulation of low-energy implantation into very thin SOI layers may not be entirely reliable, but it is certain that the Si channel under the gate is essentially undoped.

Despite the ultrathin Si channel and gate oxide, these devices successfully operated as standard transistors, with good subthreshold slope and acceptable drain-induced barrier lowering, as shown in Fig. 4(a) [20,22]. The negative threshold  $V_T < 0$  was due to the  $n^+$ -poly-Si gate material. Once the channel is depleted with  $V_G < V_T$ , an even more negative  $V_G$  results in a slowly increasing and relatively temperature-insensitive tunneling current through the ultrathin gate oxide. The transistor can also be turned on using the substrate back-gate voltage  $V_{BG}$ , although fairly large biases  $V_{BG} > 10$  V are required.

The tunneling operation of the VTT is shown in Fig. 4(b). The source and drain electrodes are shorted together and biased to a fixed voltage  $V_D$  with respect to the grounded gate electrode. The tunneling current  $I_G$  is then modulated by  $V_{BG}$  and the resulting transconductance  $g$  first increases and then drops, with one or more clear minima in-between. The initial increase of  $g$  with  $V_{BG}$  corresponds to the  $V_{BG}$ -induced lowering of  $E_1$  with respect to the gate, leading to a higher  $I_G$ . The eventual drop in  $g$  at large  $V_{BG}$  ( $V_{BG} > 15$  V, see Fig. 4(b)), corresponds to a large carrier density being established in the Si channel, which screens the  $V_G$ -induced electric field. The minima in  $g$  at intermediate  $V_{BG}$  corresponds to the  $E_1$  subband going out of alignment with the occupied states in the gate (at larger  $V_S = V_D$  other higher-lying  $E_N$  participate, whereas a potential difference between source and drain smears out the structure

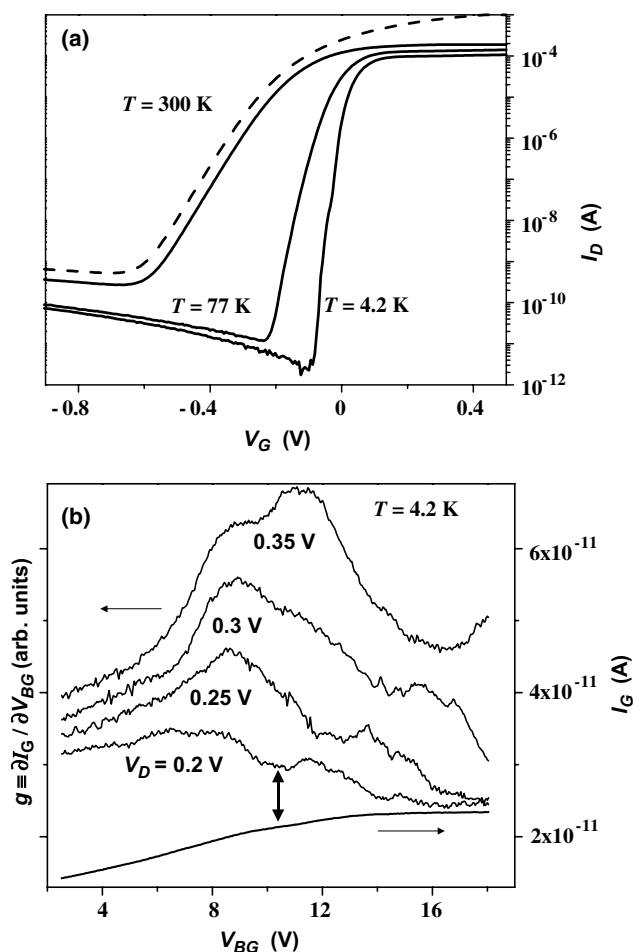


Fig. 4. (a) Standard  $I_D(V_G)$  transfer characteristics at  $T = 300$ , 77, and 4.2 K for  $V_D = 0.1$  V (and 0.6 V at  $T = 300$  K, dashed line),  $V_{BG} = 0$ . The negative threshold  $V_T$  is due to the  $n^+$ -poly-silicon front gate. (b) Tunneling  $I_G(V_{BG})$  characteristic at  $T = 4.2$  K for  $V_S = V_D = 0.2$  V (arrow marks the transconductance  $g \equiv \partial I_G / \partial V_{BG}$  minimum), together with smoothed  $g(V_{BG})$  for various  $V_S = V_D = 0.20$ – $0.35$  V.

in  $g$  because energy  $E_1$  varies along the channel with respect to the occupied states in the gate). In these early, proof-of-concept devices,  $g$  does not really go negative and the  $V_{BG}$  required to modulate  $I_G$  is unacceptably large. However, a truly uniform (and thinner) Si channel, a uniform gate tunneling barrier, and a much thinner buried oxide (an expected development in SOI) would lead to much sharper features at noncryogenic temperatures. Finally, just as in RST transistors, a well-controlled gate dielectric with smaller  $\Delta E_C \sim 1$  V would, in principle, increase the magnitude of tunneling  $I_G$  while retaining the prospect of room temperature operation.

#### 4. Lateral interband tunneling transistor in SOI

The above-described RST and VTT devices diverged from the transistor paradigm in that current flow left the channel, either by hot-electron real-space transfer or by tunneling through a thin barrier. A different type of device, based on interband tunneling as in the Esaki diode [23] but with gate control of the tunneling current, lends itself to SOI implementation. The lateral interband tunneling transistor (LITT) is based on a heavily-doped lateral pn junction in an ultrathin Si channel on SOI, with a standard gate oxide and a gate electrode overlapping the junction depletion region—a schematic illustration is shown in Fig. 5. The gate voltage  $V_G$  controls the interband tunneling current by adding a vertical electric field component to the lateral  $F$  of the reverse-biased pn junction. This principle of operation is reminiscent of gate-induced drain leakage (GIDL) in bulk Si MOSFETs, where the parasitic GIDL current arises due to tunneling between the drain and the body—however, in our device the source–drain tunneling current is the main current component. Although no adequate quantitative model exists for interband tunneling in indirect materials like Si, the available

expressions with adjustable parameters for the electron–phonon coupling constant all predict a sharp near-exponential rise of the current with the electric field  $F$  [24]. While this appears promising for high-gain amplification, adding a third terminal to a heavily-doped pn junction without simultaneously introducing leakage and parasitic capacitance is not an easy task.

One approach to gating the interband tunneling process, demonstrated first in III–V material [25] and subsequently in Si [26,27], is to fabricate an actual MOSFET but with the drain doped the opposite of the source. Then, the gate voltage  $V_G$  influences  $F$  (and hence the interband tunneling current) between the inversion channel and the drain. The effective cross-sectional area of the channel–drain pn junction is then kept small by the physical depth of the inversion channel, but the very existence of the inversion channel increases the device area and adds to the gate capacitance. An alternative LITT implementation, which avoids the inversion channel altogether, is a heavily-doped pn junction in a thin Si channel in an SOI geometry, as in Fig. 5. The thin Si channel reduces the source–drain capacitance and leakage current, while  $V_G$  (of either polarity) adds to the drain-voltage controlled lateral  $F$  in the junction. The device can be operated in either forward or reverse source–drain bias, but reverse  $V_D$  appears more promising for high-speed applications because of absent minority carrier injection. In principle, a very narrow ( $\leq 10$  nm) gate should overlap the depletion region only, minimizing the gate capacitance. Then, the ideal device would occupy very little area, as dictated by the source and drain contacting requirements, have very low overall capacitance, and exhibit different scaling behavior from MOSFETs (or any other device with an inversion channel, including the counterdoped tunneling transistors of Refs. [25–27]).

An ideal LITT device with an ultranarrow gate overlapping the lateral heavily-doped pn source–drain junction would require a specialized mask set with

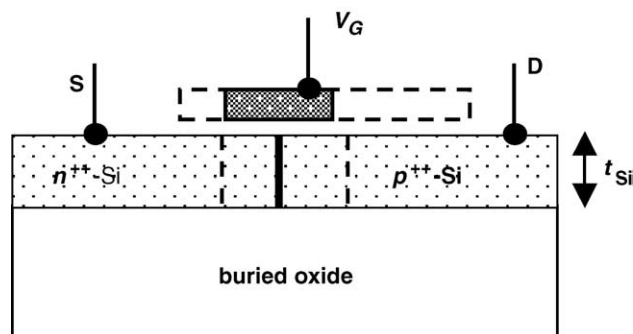


Fig. 5. Schematic layout of an LITT implemented in SOI, bold line marks the pn junction while the dashed lines indicate the corresponding lateral depletion region. In the ideal LITT, the gate overlaps the depletion region only to minimize capacitance (hatched); in the first fabricated prototypes, the gate overlapped source and drain space charge regions (dashed).

e-beam gate lithography at the limits of modern fabrication capabilities. On the other hand, proof-of-concept devices have been fabricated by a standard SOI MOS process with double implantation sequence employed for the source–drain pn junction [28]. After thinning the SOI Si channel to 40–50 nm Si thickness, the active area was doped  $p^+$  by B implantation (in the  $2\text{--}6 \times 10^{19} \text{ cm}^{-3}$  range). After annealing to activate the B implant, half the active area was covered using a shifted active area mask level and the exposed source region was implanted  $n^{++}$  with P, aiming for  $n^{++} \sim 10^{20} \text{ cm}^{-3}$  effective doping (doping levels were estimated by Silvaco process simulation). Subsequent processing followed the standard MOS sequence with 4 nm deposited gate oxide and optical lithography for gate definition. The minimum gate length  $L_G = 0.35 \mu\text{m}$  was employed to ensure the overlap between the gate and the pn junction—however, this meant a significant overlap between the gate and the source and drain regions, as shown in Fig. 5. Good source and drain contacts were achieved even to the thinnest  $t_{\text{Si}} \sim 40 \text{ nm}$  Si channels.

The room temperature  $I_D(V_G/V_D)$  characteristics of reverse-biased ( $V_D < 0$ ) LITT devices with  $\sim 40 \text{ nm}$  Si film thickness,  $L_G = 0.35 \mu\text{m}$  gate length and  $10 \mu\text{m}$  gate width, are shown in Fig. 6(a) for  $V_G$  ranging up to  $\pm 5 \text{ V}$ . As expected,  $V_G$  of either polarity can turn the tunneling  $I_D$  current on at moderate drain bias  $V_D = -1 \text{ V}$ . However, the required  $|V_G| \geq 4 \text{ V}$  values are rather large, indicating a small built-in lateral electric field  $F$  due to insufficient junction doping levels. This explana-

tion is consistent with the doping split dependence of the LITT characteristics: identically processed devices with lower doping on the p side exhibited even less current [28]. Furthermore, at  $V_G = 0$ , when the junction field  $F$  is almost entirely due to  $V_D$ , large reverse drain voltages  $\sim -3 \text{ V}$  are required before  $I_D$  begins to flow, again confirming insufficient junction doping. As expected, the drain current in the LITT is independent of  $L_G$ .

Not only is the complex dependence of interband tunneling on the electric field  $F$  not well understood, but the spatial variation of  $F$  poses a difficult electrostatic problem. A given  $V_G$  accumulates one side of the pn junction and depletes the other. As a result, the dependence of  $F$  and hence  $I_D$  on  $V_G$  polarity is asymmetric because of the differences in the doping level ( $n^{++}$  source more heavily doped than  $p^+$  drain) and because of the workfunction difference between the  $n^+$ -poly-Si gate and the drain. Systematic Silvaco device simulations with the aim of correlating calculated maximum field  $F_{\text{MAX}}$  values with device parameters have been carried out, using both the actual double-implanted devices we fabricated experimentally and model devices with thinner Si channel and gate oxide, as well as abrupt pn junctions with a narrow  $L_G$  overlapping the depletion region only (see Fig. 5) [29]. A comparison of  $F_{\text{MAX}}$  as a function of  $V_G$  (for  $V_D = -1 \text{ V}$ ) for the real double-implanted device and a model device consisting of an ideally abrupt  $1.5 \times 10^{19}$ : $4.5 \times 10^{19} \text{ cm}^{-3}$  pn junction in a thinner  $t_{\text{Si}} = 8 \text{ nm}$  channel with  $L_G = 9 \text{ nm}$  and  $2 \text{ nm}$  gate oxide is shown in Fig. 6(b). Much higher  $F_{\text{MAX}}$  values for the

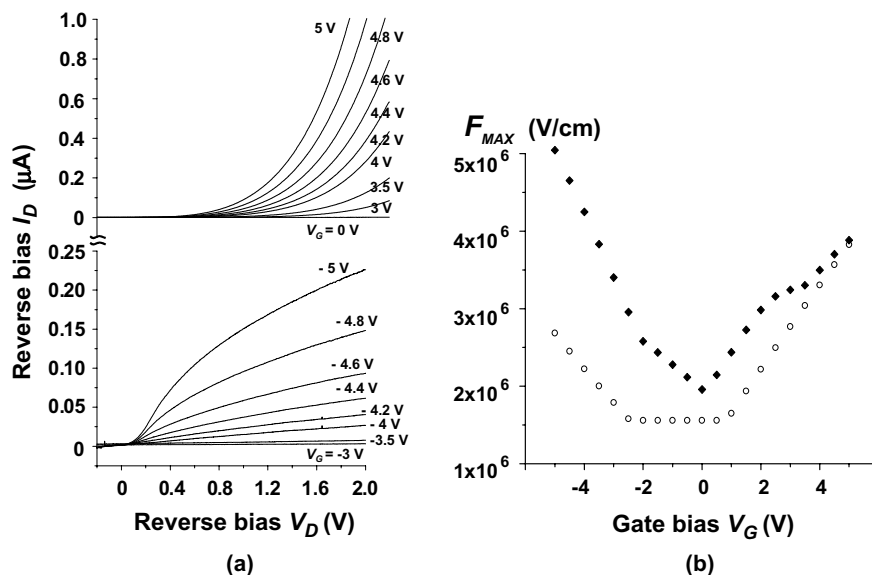


Fig. 6. (a) Reverse-bias  $I_D(V_D, V_G)$  curves for LITT with pn junction doping of  $6 \times 10^{19}$ : $10^{20} \text{ cm}^{-3}$  at  $T = 300 \text{ K}$  as a function of  $V_G$  (device parameters:  $L_G = 0.35 \mu\text{m}$ ,  $W = 10 \mu\text{m}$ , Si film thickness  $t_{\text{Si}} \sim 40 \text{ nm}$ , gate oxide  $\sim 5 \text{ nm}$ ). (b) Simulated maximum electric field  $F_{\text{MAX}}$  vs.  $V_G$  at  $V_D = 1 \text{ V}$  in the fabricated double-implanted prototype devices (circles) and a model device with a perfectly abrupt pn  $1.5 \times 10^{19}$ : $4.5 \times 10^{19} \text{ cm}^{-3}$  junction, thinner silicon channel  $t_{\text{Si}} = 8 \text{ nm}$ ,  $L_G = 9 \text{ nm}$ , and  $2 \text{ nm}$  gate oxide (diamonds).

same biasing conditions would lead to higher transconductance and hence better performance. It should be noted that the asymmetry of  $F_{\text{MAX}}$  about  $V_G = 0$  in the real device arises from the use of  $n^+$ -Si gate material. If this is undesirable, a mid-gap gate material with a symmetric workfunction with respect to the  $n$  and  $p$  sides of the LITT or an intentional  $pn$  doping asymmetry (as in the ideally abrupt device of Fig. 6(b)) will solve the problem. We also note that very thin SOI channels  $t_{\text{Si}} < 10$  nm and oxides  $< 2$  nm have already been demonstrated in above-described VTT structures [20], and sub-10 nm gate lengths have also been reported in SOI MOSFET structures [30], so the main obstacle to the ideal LITT fabrication consists of aligning an abrupt junction with the gate.

Unlike a normal MOSFET, the LITT current has no obvious current saturation mechanism:  $I_D$  should increase with both  $V_D$  and  $V_G$ , limited only by extrinsic factors, such as series resistance in the contacts. If we consider LITT operated in reverse bias for high-speed analog amplification, the limitation on its high-frequency operation arises essentially from the capacitance, since there is no minority carrier storage. However, given the absence of the inversion channel, the gate capacitance in the ideal LITT of Fig. 5, where a narrow gate overlaps the source–drain depletion only, is minimal. As for the source–drain capacitance, it depends on the doping level of the  $pn$  junction. Even true Esaki diodes, with highly degenerate doping on both sides of the junction, have good high-speed response in forward bias [31]. In the LITT, the trade-off between source–drain capacitance (increasing with  $pn$  junction doping) and the tunnel resistance (decreasing with  $pn$  junction doping) will determine the proper design point.

It should be mentioned that the double implantation procedure employed to produce the first LITT devices is far from ideal, with the source region undergoing two high-dose implantations and the junction sharpness suffering from lateral diffusion during activation. Epitaxial regrowth of the source region would certainly be better, although the resulting nonplanar FET layout would complicate the gate level lithography. Like many other SOI device concepts, the LITT still awaits an optimized, technological fabrication process.

Finally, it should be emphasized that with source and drain contacts of opposite polarity, the LITT is not affected by short channel effects and does not obey the scaling limitations of a MOSFET. This may become critical for future end-of-the-roadmap ULSI devices.

## 5. Conclusion

In this paper we have briefly presented three device prototypes that had their beginnings in III–V heterostructures but can be advantageously implemented in

SOI. More generally, the ultrathin Si layers becoming available in SOI, in combination with Si-based heterostructures, ultrathin oxides and other Si-compatible dielectrics, beckon device physicists and designers to transfer the entire arsenal of bandgap-engineered, size and charge quantized device ideas to the world of silicon technology.

## Acknowledgements

We are grateful to S. Cristoloveanu, M. Mastrapasqua, and S. Deleonibus for useful discussions, and to D. Mariolle, C. Aydin and D. Fraboulet for technical assistance. The work at Brown and Stony Brook has been supported by the AFOSR MURI program (award #F49620-00-1-0331, managed by T. Steiner). S.L. has also been supported by the NY Center for Advanced Technology in Sensor Systems, funded by the NY State Office of Science, Technology and Academic Research (NYSTAR). A.Z. is grateful for a productive sabbatical stay in the Micro-electronics Department at LETI-CEA and visits to the IMEP-ENSERG laboratory in Grenoble.

## References

- [1] Celler GK, Cristoloveanu S. Frontiers of silicon-on-insulator. *J Appl Phys* 2003;93:4955–78.
- [2] Luryi S, Zaslavsky A. Quantum effect and hot electron devices. In: Sze SM, editor. *Modern semiconductor device physics*. New York: Wiley-Interscience; 1998. p. 253–341.
- [3] Solomon PM. Strategies at the end of CMOS scaling. In: Luryi S, Xu JM, Zaslavsky A, editors. *Future trends in microelectronics: the nano millennium*. New York: Wiley-Interscience; 2002. p. 28–42.
- [4] Takahashi Y, Nagase M, Namatsu H, Kurihara K, Iwadate K, Nakajima Y, et al. Fabrication technique for Si single-electron transistor operating at room temperature. *Electron Lett* 1995;31:136–7.
- [5] Leobandung E, Guo LJ, Wang Y, Chou SY. Observation of quantum effects and Coulomb-blockade in silicon quantum-dot transistors at temperatures over 100 K. *Appl Phys Lett* 1995;67:938–40; Leobandung E, Guo LJ, Chou SY. Single hole quantum-dot transistors in silicon. *Appl Phys Lett* 1995;67:2338–40.
- [6] Saitoh M, Saito T, Inukai T, Hiramoto T. Transport spectroscopy of the ultrasmall silicon quantum dot in a single-electron transistor. *Appl Phys Lett* 2001;79:2025–7.
- [7] Kastalsky A, Luryi S. Novel real-space transfer hot-electron transfer devices. *IEEE Electron Device Lett* 1983;4:334–6, U.S. patent #4,903,092 Real space electron transfer device using hot electron injection (filed 1983, issued 1990).
- [8] Belenky GL, Garbinski PA, Luryi S, Mastrapasqua M, Cho AY, Hamm RA, et al. Collector-up light-emitting charge injection transistors in  $n\text{-InGaAs/InAlAs/p-InGaAs}$



- and n-InGaAs/InP/p-InGaAs heterostructures. *J Appl Phys* 1993;73:8618–27.
- [9] Mastrapasqua M, King CA, Smith PR, Pinto MR. Functional devices based on real space transfer in Si/SiGe structure. *IEEE Trans Electron Devices* 1996;43:1671–7.
- [10] A review is available in Luryi S, Mastrapasqua M. Charge injection devices. In: Webster JG, editor. *Wiley encyclopedia of electrical and electronic engineering*, vol. 3. New York: Wiley; 1999. p. 258–63.
- [11] Mensz PM, Garbinski PA, Cho A, Sivco D, Luryi S. High transconductance and large peak-to-valley ratio of negative differential conductance in three-terminal InGaAs/InAlAs real-space transfer devices. *Appl Phys Lett* 1990;57:2558–61.
- [12] Maezawa K, Mizutani T. High-frequency characteristics of charge-injection transistor-mode operation in AlGaAs/InGaAs/GaAs metal-insulator-semiconductor field-effect transistors. *Jpn J Appl Phys* 1991;30:1190–3.
- [13] Luryi S, Mensz PM, Pinto NR, Garbinski PA, Cho AY, Sivco DL. Charge injection logic. *Appl Phys Lett* 1990;57:1787–9.
- [14] Robertson J. Electronic structure and band offsets of high-dielectric-constant gate oxide. *MRS Bull* 2002. Available from: <http://www.mrs.org/publications/bulletin>.
- [15] Grinberg AA, Kastalsky A, Luryi S. Theory of hot electron injection in CHINT/NERFET devices. *IEEE Trans Electron Devices* 1987;34:409–19.
- [16] Kizilyalli IC, Hess K. Physics of real-space transfer transistors. *J Appl Phys* 1989;65:2005–13.
- [17] Luryi S. Quantum capacitance devices. *Appl Phys Lett* 1988;52:501–3.
- [18] Luryi S. Frequency limit of double-barrier resonant-tunneling oscillators. *Appl Phys Lett* 1985;47:490–2.
- [19] Morkoç H, Chen J, Reddy UK, Henderson T, Luryi S. Observation of negative differential resistance due to tunneling through a single barrier into a quantum well. *Appl Phys Lett* 1986;49:70–2.
- [20] Zaslavsky A, Aydin C, Luryi S, Cristoloveanu S, Mariolle D, Fraboulet D, et al. Ultrathin silicon-on-insulator vertical tunneling transistor. *Appl Phys Lett* 2003;83: 1653–5.
- [21] Following the technique of Esseni D, Mastrapasqua M, Celler GK, Baumann FH, Fiegna C, Selmi L, et al. Low field mobility of ultrathin SOI n- and p-MOSFETs: measurements and implications on the performance of ultra-short MOSFETs. *IEDM* 2000:671.
- [22] Ohata A, Pr  tet J, Cristoloveanu S, Zaslavsky A. Single and double gate operation in SOI MOSFETs with ultrathin body. *Jpn J Appl Phys*, submitted for publication.
- [23] Esaki L. New phenomenon in narrow Ge p–n junction. *Phys Rev B* 1958;109:603–4.
- [24] Kane EO. Zener tunneling in semiconductors. *J Phys Chem Solids* 1959;12:181–8; Kane EO, Blount EI. In: Burstein E, Lundqvist S, editors. *Tunneling phenomena in solids*. New York: Plenum; 1969. p. 79–91. Numerical device simulators like ATLAS and MEDICI employ expressions based on Kane’s model with adjustable parameters in the exponential.
- [25] Uemura T, Baba T. First observation of negative differential resistance in surface tunnel transistors. *Jpn J Appl Phys* 1994;33:L207–10; Chun YJ, Uemura T, Baba T. Self-aligned surface tunnel transistors fabricated by a regrowth technique. *Jpn J Appl Phys* 1999;38:L1163–5.
- [26] Koga J, Toriumi A. Three-terminal silicon surface junction tunneling device for room temperature operation. *IEEE Electron Device Lett* 1999;20:529–31.
- [27] Hansch W, Fink C, Schulze J, Eisele I. A vertical MOS-gated Esaki tunneling transistor in silicon. *Thin Solid Films* 2000;369:387–9; Hansch W, Borthen P, Schulze J, Fink C, Sulima T, Eisele I. Performance improvements in vertical surface tunneling transistors. *Jpn J Appl Phys* 2001;40:3131–6.
- [28] Zaslavsky A, Mariolle D, Deleonibus S, et al. Interband tunneling-based ULSI-compatible silicon devices. In: Huff HR, Fabry L, Kishino S, editors. *Semiconductor silicon* 2002, vol. 2. Pennington, NJ: Electrochemical Society; 2002. p. 956–67.
- [29] Aydin C, Zaslavsky A, Luryi S, Mariolle D, Fraboulet D, Deleonibus S. Lateral interband tunneling transistor in silicon-on-insulator. *Appl Phys Lett*, submitted for publication.
- [30] Doris B et al. Extreme scaling with ultra-thin Si channel MOSFETs. *IEDM* 2002:267.
- [31] Sze SM. *Physics of semiconductor devices*. 2nd ed. New York: Wiley Interscience; 1981. p. 513–39.